

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. 268/001

First Inventor Arthur R. Telkamp and Ying Wen Hsu

Title 1 X N OR N X 1 OPTICAL SWITCH HAVING A PLURALITY
OF MOVABLE LIGHT GUIDING MICROSTRUCTURES

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Express Mail Label No. EL622503160US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17) (O+1)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 37]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 04]
5. Oath or Declaration [Total Pages 41]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application nos: 09/837,829; 09/837,817; 60/233,672; 60/241,672
Prior application information: Examiner not yet assigned Group / Art Unit: 2033

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☒ Correspondence address below

22249

PATENT TRADEMARK OFFICE

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David E. Wang

Registration No. (Attorney/Agent)

38,358

Signature

Date

October 19, 2001

CERTIFICATE OF MAILING 37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown
below with sufficient postage as 'Express Mail Post Office To Addressee' Label No. EL 622503160US in an envelope addressed to Box Patent Application,
Commissioner for Patents, Washington, D.C. 20231.

October 19, 2001
Date of Deposit
OC-94892.1

Frances E. Thurson
Frances E. Thurson

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if Known

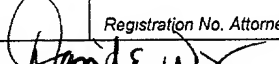
Application Number	To Be Assigned
Filing Date	On Even Date Herewith
First Named Inventor	Arthur R. Telkamp and Ying Wen Hsu
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No	268/001

TOTAL AMOUNT OF PAYMENT (\$ 1,297(not enclosed)

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input type="checkbox"/>	The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. ADDITIONAL FEES	
Deposit Account Number	12-2475	Fee Code	Fee (\$)
Deposit Account Name	Lyon & Lyon	Large Entity Fee Code	Small Entity Fee Code
<input type="checkbox"/>	Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	Fee Description	Fee Paid
<input checked="" type="checkbox"/>	Applicant claims small entity status See 37 CFR 1.27	105 130 205 65	Surcharge - late filing fee or oath
2. <input type="checkbox"/>	Payment Enclosed:	127 50 227 25	Surcharge - late provisional filing fee or cover sheet.
<input type="checkbox"/>	Check	139 130 139 130	Non-English specification
<input type="checkbox"/>	Credit card	147 2,520 147 2,520	For filing a request for reexamination
<input type="checkbox"/>	Money Order	112 920* 112 920*	Requesting publication of SIR prior to Examiner action
<input type="checkbox"/>	Other	113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action
FEE CALCULATION		115 110 215 55	Extension for reply within first month
1. BASIC FILING FEE		116 390 216 195	Extension for reply within second month
Large Entity Fee Code	Small Entity Fee Code	117 890 217 445	Extension for reply within third month
Fee (\$)	Fee (\$)	118 1,390 218 695	Extension for reply within fourth month
Fee Description	Fee Paid	128 1,890 228 945	Extension for reply within fifth month
101 710 201 370	Utility filing fee	119 310 219 155	Notice of Appeal
106 320 206 160	Design filing fee	120 310 220 155	Filing a brief in support of an appeal
107 490 207 245	Plant filing fee	121 270 221 135	Request for oral hearing
108 710 208 355	Reissue filing fee	138 1,510 138 1,510	Petition to institute a public use proceeding
114 150 214 75	Provisional filing fee	140 110 240 55	Petition to revive - unavoidable
SUBTOTAL (1) (\$ 370)		141 1,240 241 620	Petition to revive - unintentional
2. EXTRA CLAIM FEES		142 1,240 242 620	Utility issue fee (or reissue)
Total Claims	Extra Claims	143 440 243 220	Design issue fee
109 -20** = 89	Fee from below	144 600 244 300	Plant issue fee
Independent Claims	Fee Paid	122 130 122 130	Petitions to the Commissioner
6 -3** = 3		123 130 123 130	Petitions related to provisional applications
Multiple Dependent		126 180 126 180	Submission of Information Disclosure Stmt
Large Entity Fee Code	Small Entity Fee Code	581 40 581 40	Recording each patent assignment per property (times number of properties)
Fee (\$)	Fee (\$)	146 710 246 355	Filing a submission after final rejection (37 CFR § 1.129(a))
Fee Description	Fee Paid	149 710 249 355	For each additional invention to be examined (37 CFR § 1.129(b))
103 18 203 9	Claims in excess of 20	179 710 279 355	Request for Continued Examination (RCE)
102 80 202 42	Independent claims in excess of 3	169 900 169 900	Request for expedited examination of a design application
104 270 204 135	Multiple dependent claim, if not paid	Other fee (specify)	
109 80 209 40	** Reissue independent claims over original patent	*Reduced by Basic Filing Fee Paid	
110 18 210 9	** Reissue claims in excess of 20 and over original patent	SUBTOTAL (3) (\$)	
SUBTOTAL (2) (\$ 1,297)			
**or number previously paid, if greater, For Reissues, see above			

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	David E Wang	Registration No. Attorney/Agent	38,3586	Telephone	(949) 567-2000
Signature		Date	Oct 19, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231